

DCUSA Change Proposal Form

This form is issued in accordance with Clause 10.5 of the DCUSA.

Completed forms should be returned to dcusa@electralink.co.uk for assessment by the DCUSA Panel. Failure to complete all parts of the form may result in it being rejected by the DCUSA Panel.

PART A – Mandatory for all Change Proposals

PART B – Mandatory for Non Charging Methodologies Proposals

PART C – Mandatory for Charging Methodologies Proposals

PART D – Guidance Notes

PART A - MANDATORY FOR ALL CHANGE PROPOSALS

Document Control	
CP Status	Standard
CP Number	DCP 271
Date of submission	11 May 2016
Attachments	Draft legal text
Originator Details	
Company Name	Npower
Originator Name	Dan French
Category	SUPPLIER
Email Address	daniel.french@npower.com
Phone Number	-
Change Proposal Details	
CP Title	Process and Costs of Providing Additional Hunter Facilities
Impacted parties	Suppliers
Impacted Clause(s)	Schedule 25 Theft Risk Assessment Service
Part 1 / Part 2 Matter	Part 2 Matter
Provide your rationale why you consider this change is a Part 1 or Part 2 Matter	This change deemed a Part 2 matter as it will not have a significant impact on the interests of electricity consumers and will not have a significant impact on the competition of any of those listed in clause 9.4.2 of the DCUSA.
Related Change Proposals	Draft SPAA CP
Change Proposal Intent	
This change seeks to introduce a process for Suppliers who wish to procure additional Hunter facilities from the TRAS Service Provider and to make those costs transparent to the Supplier community.	
Business Justification and Market Benefits	
<p>Under Schedule 8 of the TRAS contract, Suppliers are granted a set number of Hunter licences. Tier 1 Suppliers and Tier 2 Suppliers receive a single licence each, Tier 3 Suppliers share a multi instance of Hunter.</p> <p>The maximum number of Permitted Users per Recipient is calculated as the number of qualified outliers expected to be received per month divided by 500 (the number of qualified outliers a user may be expected to process each month) but shall not exceed 20 users per Recipient.</p> <p>Currently there is no process for additional licences or user accounts to be procured by Suppliers from the TRAS Service Provider.</p>	

The TRAS Expert Group has been made aware that there is a demand for these additional facilities and the TRAS Service Provider has confirmed that it can provide these facilities.

Under TRAS, cost incurred by DCUSA Ltd (howsoever arising) shall constitute costs to be paid by Suppliers. However there is no process to recover costs from Suppliers individually for extra Hunter facilities should they request them.

Proposed Solution and Draft Legal Text

It is proposed to include a 'menu' of additional Hunter related facilities in the TRAS Schedules as a new appendix to ensure that the costings of these extra facilities is transparent and available for Suppliers to procure at their discretion.

This 'menu' will form an additional appendix (4) to Schedule 25 and will be as follows:

Suppliers may procure additional Hunter facilities. Suppliers who wish to procure additional facilities shall notify the Secretariat of their request.

Additional Users will be subject to the following terms and conditions:

1. *For each Operational Year (defined as 1st April to 31st March – the anniversary following go-live) or part thereof, Additional Users will be charged to DCUSA at the following rates*
 - a. *£6000 for a block of 10 Additional Users configured at the same time*
 - b. *£900 per single Additional User*
2. *This is invoiced as a lump sum to DCUSA on approval of a Contract Change Request (and/or on 1st April each year these remain in effect)*
3. *If the QO number's increase during the year (such that the number of Permitted User increases), the Supplier would not be entitled to a refund "in-year".*
4. *On 1st April each year Experian will recalculate the number of extra users (i.e. number of users held by a supplier less Permitted Users) and invoice accordingly.*
5. *RPI will apply to the charges as outlined in the contract.*

On approval of such a request, Suppliers will be invoiced for such costs by DCUSA Ltd.

Suppliers wishing to avail of these services shall notify the Secretariat. The request will be sent to the TRAS Service Provider who will then develop a TRAS Contract Change Request (CR) to be considered at the TRAS Expert Group (TEG). This request will be anonymised. The TEG will then make a recommendation to the TRAS Steering Group (TSG). On approval by the TSG, the TRAS Service Provider will execute the CR.

It is envisaged that once the process is embedded, changes of this nature could be considered an administrative change. The TSG should then be requested to grant delegated authority for approval of such changes by the TRAS Project Sponsors.

Suppliers who wish to procure additional Hunter facilities will bear the costs themselves. These costs will be invoiced to Suppliers along with their DCUSA costs by DCUSA Ltd. To facilitate this, additional legal drafting has been added to section 2.1 of Schedule 25 of DCUSA and a new section 2.6 has been added:

- 2.1 *Subject to Paragraph 2.3, all TRAS Liabilities incurred by DCUSA Ltd (howsoever arising) shall constitute Recoverable Costs to be paid by Supplier Parties pursuant to Clause 8.9A of the main body of this Agreement. This is with the exception of the arrangements detailed in 2.6 below and Appendix 4 of this Schedule.*
- 2.2 *Suppliers may procure additional Hunter facilities. Suppliers who wish to procure additional facilities shall notify the Secretariat of their request and will be subject to the terms and conditions set out under Appendix 4 of this Schedule. Suppliers wishing to procure additional Hunter Facilities will be invoiced individually according to the costs set out in Appendix 4 of Schedule 25.*

Proposed Implementation Date

Next DCUSA release after approved, to be aligned with SPAA release.

Impact on Other Codes

Please tick the relevant boxes and provide any supporting information.

BSC	<input type="checkbox"/>
CUSC	<input type="checkbox"/>
Grid Code	<input type="checkbox"/>
MRA	<input type="checkbox"/>
SEC	<input type="checkbox"/>
Other	<input type="checkbox"/>
None	<input checked="" type="checkbox"/>

If other please specify

An equivalent Change Proposal is being progressed under the SPAA.

Consideration of Wider Industry Impacts

N/A

Environmental Impact

N/A

Confidentiality

N/A

PART B – MANDATORY FOR NON CHARGING METHODOLOGIES CHANGE PROPOSALS

DCUSA Objectives

General Objectives:

Please tick the relevant boxes. [See Guidance Note 9]

- ☐ 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks
- ☐ 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity
- ☐ 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences
- ☒ 4 The promotion of efficiency in the implementation and administration of this Agreement
- ☐ 5 Compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.

Detailed rationale for better facilitation of the DCUSA Objectives identified above

This CP will better facilitate DCUSA General objective 4 by introducing the ability for Suppliers to purchase additional Hunter facilities. There is no homogeneity in the internal structure of Supplier company working arrangements. The opportunity to procure additional Hunter facilities will aid Suppliers who have different internal teams that need access to hunter to carry out their licence obligations.

PART C – MANDATORY FOR CHARGING METHODOLOGIES CHANGE PROPOSALS

DCUSA Charging Objectives

Please tick the relevant boxes. [See Guidance Note 11]

Charging Objectives:

- ☐ 1 that compliance by each DNO Party with the Charging Methodologies facilitates the discharge by the DNO Party of the obligations imposed on it under the Act and by its Distribution Licence
- ☐ 2 that compliance by each DNO Party with the Charging Methodologies facilitates competition in the generation and supply of electricity and will not restrict, distort, or prevent competition in the transmission or distribution of electricity or in participation in the operation of an Interconnector (as defined in the Distribution Licences)
- ☐ 3 that compliance by each DNO Party with the Charging Methodologies results in charges which, so far as is reasonably practicable after taking account of implementation costs, reflect the costs incurred, or reasonably expected to be incurred, by the DNO Party in its Distribution Business
- ☐ 4 that, so far as is consistent with Clauses 3.2.1 to 3.2.3, the Charging Methodologies, so far as is reasonably practicable, properly take account of developments in each DNO Party's Distribution Business

- ☐ 5 that compliance by each DNO Party with the Charging Methodologies facilitates compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.

General Objectives:

- ☐ 1 The development, maintenance and operation by the DNO Parties and IDNO Parties of efficient, co-ordinated, and economical Distribution Networks
- ☐ 2 The facilitation of effective competition in the generation and supply of electricity and (so far as is consistent therewith) the promotion of such competition in the sale, distribution and purchase of electricity
- ☐ 3 The efficient discharge by the DNO Parties and IDNO Parties of obligations imposed upon them in their Distribution Licences
- ☐ 4 The promotion of efficiency in the implementation and administration of this Agreement
- ☐ 5 Compliance with the Regulation on Cross-Border Exchange in Electricity and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.

Detailed rationale for better facilitation of the DCUSA Objectives identified above

[See Guidance Note 10]

Charging Objectives:

General Objectives:

Has this issue been discussed at any other industry forums? If so please specify and provide supporting documentation

PART D – GUIDANCE NOTES FOR COMPLETING THE FORM

Guidelines for Working Group Members and Working Group Terms of Reference are available on the DCUSA Website and provide more information about the progression of the Change Process. www.dcusa.co.uk

Ref	Data Field	Guidance
1	Attachments	Append any proposed legal text or supporting documentation in order to better support / explain the CP.
2	Part 1 / Part 2 Matter	A CP must be categorised as a Part 1 or Part 2 matter in accordance with Clause 10.4.7 of the DCUSA. All Part 1 matters require Authority Consent.
3	Related Change Proposals	Indicate if the CP is related to or impacts any CP already in

		the DCUSA or other industry change process.
4	Proposed Solution and Draft Legal Text	<p>Outline the proposed solution for addressing the stated intent of the CP. The Change Proposal Intent will take precedence in the event of any inconsistency. A DCUSA Working Group may develop alternative solutions.</p> <p>The plain English description of the proposed solution should include the changes or additions to existing DCUSA Clauses (including Clause numbers).</p> <p>Insert proposed legal drafting (change marked against any existing DCUSA drafting) which enacts the intent of the solution. The legal text will be reviewed by the Working Group (if convened) and is likely to be subject to legal review as part of its progress through the DCUSA change process.</p>
5	Proposed Implementation Date	<p>The Change can be implemented in February, June, and November of each year or as an extraordinary release. For Charging Methodology CPs, select an implementation date which takes in to consideration the deadlines for publishing indicative tariffs.</p> <ul style="list-style-type: none"> • Submission of Company indicative tariffs is 31 December of each year. • Final tariffs are published on 1 April of each year. <p>Please select an implementation date that provides sufficient time for the change to be incorporated into the appropriate charging model and the DCUSA in order to be reflected within the December indicative tariffs.</p> <p>Contact the DCUSA helpdesk for any further information on the releases dcusa@electralink.co.uk.</p>
6	Consideration of Wider Industry Impacts	Indicate whether this Change Proposal will be impacted by or have an impact upon wider industry developments. If an impact is identified, explain why the benefit of the Change Proposal may outweigh the potential impact and indicate the likely duration of the Change.
7	Environmental Impact	Indicate whether it is likely that there would be a material impact on greenhouse gas emissions as a result of the proposed variation being made. Please see Ofgem Guidance .
8	Confidentiality	Clearly indicate if any parts of this Change Proposal Form are to remain confidential to DCUSA Panel (and any subsequent DCUSA Working Group) and Ofgem.
9	DCUSA General Objectives	Indicate which of the DCUSA Objectives will be better facilitated by the Change Proposal.
10	Detailed Rationale for	Provide detailed supporting reasons and information

	DCUSA Objectives	(including any initial analysis that supports your views) to demonstrate why the CP will better facilitate each of the DCUSA Objectives identified.
11	DCUSA Charging Objectives	Indicate which of the DCUSA Charging Objectives will be better facilitated by the Change Proposal. Please note that a CDCM or EDCM change may also facilitate the DCUSA General objectives.